



DATE: February 24, 1997

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FORM PTO-1449-Mod.

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.
115132-4SERIAL NO.
08/475,470

LIST OF DISCLOSURES

APPLICANT
RICHARD J. SAMULSKI ET AL.FILING DATE
June 7, 1995GROUP
~~1805~~ 1809

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER								DATE	NAME	CLASS	SUBCLASS	FILING DATE
ATN	AA	5	1	3	9	9	4	1	8/19/92	Muzyczka et al.	435	172.3	
ATN	AB	5	1	7	3	4	1	4	12/22/92	Lebkowski et al.	435	172.3	
ATN	AC	5	2	5	2	4	7	9	10/12/93	Srivastava	435	235.1	
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OTHER DOCUMENTS

ATN	AR	Bank et al., "Gene Transfer. A Potential Approach to Gene Therapy for Sickle Cell Disease", <u>Annals New York Academy of Sciences</u> , pp. 37-43. (no year)
ATN	AS	Friedmann, "Gene Therapy", <u>Therapy for Genetic Disease</u> , pp. 107-121. (no year)
ATN	AT	Hermonat et al., "Use of adeno-associated virus as a mammalian DNA cloning vector: Transduction of neomycin resistance into mammalian tissue culture cells", <u>Proc. Natl. Acad. Sci. USA</u> , Vol. 81, pp. 6466-6470 (October 1984).

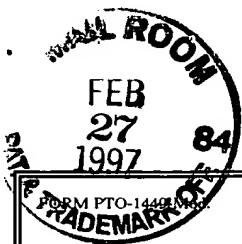
EXAMINER

Amy Nels

DATE CONSIDERED

5/11/97

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OTHER DOCUMENTS

ATN	AR1		Tratschin et al., "A Human Parvovirus, Adeno-Associated Virus, as a Eucaryotic Vector: Transient Expression and Encapsidation of the Procaryotic Gene for Chloramphenicol Acetyltransferase", <u>Molecular and Cellular Bio.</u> , pp. 2072-2081 (October 1984).
ATN	AS1		Orkin, "Molecular Genetics and Potential Gene Therapy", <u>Clinical Immunology and Immunopathology</u> , Vol. 40, pp. 151-156 (1986).
ATN	AT1		Nienhuis et al., "Transfer of Genes in Hematopoietic Cells with Retroviral Vectors", <u>Cell Physiology of Blood</u> , Chapter 8, pp. 79-89 (1988).

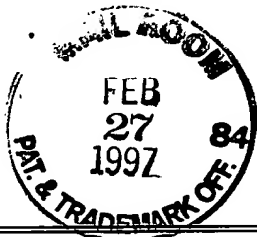
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FORM PTO-1449-Mod.

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OTHER DOCUMENTS

ATN	AR2		Wondisford et al., "Cloning of the Human Thyrotropin β -Subunit Gene and Transient Expression of Biologically Active Human Thyrotropin after Gene Transfection", <u>Molecular Endocrinology</u> , Vol. 2, No. 1, pp. 32-39 (1988).
ATN	AS2		LaFace et al., "Gene Transfer into Hematopoietic Progenitor Cells Mediated by an Adeno-Associated Virus Vector", <u>Virology</u> , Vol. 162, pp. 483-486 (1988).
ATN	AT2		Williams, "Gene Transfer and the Prospects for Somatic Gene Therapy", <u>Hematology/Oncology Clinics of North America</u> , Vol. 2, No. 2, pp. 277-287 (June 1988).

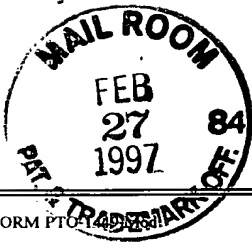
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FORM PTOS 149 (Rev. 11/83)

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ATW	AR3	Gale, "Prospects for Correction of Thalassemia by Genetic Engineering", <u>Advances and Controversies in Thalassemia Therapy: Bone Marrow Transplantation and Other Approaches</u> , pp. 141-159 (1989).
ATN	AS3	Srivastava et al., "Construction of a recombinant human parvovirus B19: Adeno-associated virus 2 (AAV) DNA inverted terminal repeats are functional in an AAV-B19 hybrid virus", <u>Proc. Natl. Acad. Sci. USA</u> , Vol. 86, pp. 8078-8082 (October 1989).
ATN	AT3	Sorrentino et al., "A 46 base pair enhancer sequence within the locus activating region is required for induced expression of the gamma-globin gene during erythroid differentiation", <u>Nucleic Acids Research</u> , Vol. 18, No. 9, pp. 2721-2731 (1990).

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FORM PTO-1449-Mod.

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LIST OF DISCLOSURES

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AJN	AR4		Beutler et al., "Gene Transfer in the Treatment of Hematologic Disease", <u>Exp. Hematol.</u> , Vol. 18, pp. 857-860 (1990).
AJN	AS4		Ohi et al., "Construction and replication of an adeno-associated virus expression vector that contains human β -globin cDNA", <u>Gene</u> , Vol. 89, pp. 279-282 (1990).
AJN	AT4		Novak et al., "High-level β -globin expression after retroviral transfer of locus activation region-containing human β -globin gene derivatives into murine erythroleukemia cells", <u>Proc. Natl. Acad. Sci. USA</u> , Vol. 87, pp. 3386-3390 (May 1990).

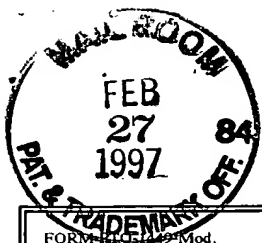
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FORM 1005 (1-95) Mod.

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OTHER DOCUMENTS

AJN	AR5		Desnick et al., "Human Gene Therapy: Strategies and Prospects for Inborn Errors of Metabolism", <u>Treatment for Genetic Diseases</u> , pp. 239-259 (1991).
AJN	AS5		Nienhuis et al., "Gene Transfer Into Hematopoietic Stem Cells", <u>Cancer</u> , Vol. 67, pp. 2700-2704 (1991).
AJN	AT5		Samulski et al., "Targeted integration of adeno-associated virus (AAV) into human chromosome 19", <u>The EMBO Journal</u> , Vol. 10, No. 12, pp. 3941-3950 (1991).

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FORM PTO-1345 (Rev. 11-84)

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AJN	AR6		Ledley, "Clinical Considerations in the Design of Protocols for Somatic Gene Therapy", <u>Human Gene Therapy</u> , Vol. 2,
			pp. 77-83 (1991).
AJN	AS6		Sitaric et al., "Production of a Helper-Free Recombinant Adeno-Associated Virus That Harbors Human Beta-Globin cDNA",
			FASEB 6(5):6843 (Abstract) (1991).
AJN	AT6		Walsh et al., "Gene Transfer and High Level Expression of a Human Gamma Globin Gene Mediated by a Novel Adeno-
			Associated Virus (AAV) Vector", Clinical Research 39(2):325A (Abstract) (1991).

EXAMINER

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AJN	AR7	Muzyczka, "Use of Adeno-Associated Virus as a General Transduction Vector for Mammalian Cells", <u>Current Topics in Microbiology and Immunology</u> , Vol. 158, pp. 97-129 (1992).
AJN	AS7	Chang et al., "A 36-base-pair core sequence of locus control region enhances retrovirally transferred human β -globin gene expression", <u>Proc. Natl. Acad. Sci. USA</u> , Vol. 89, pp. 3107-3110 (April 1992).
AJN	AT7	Miller, "Human gene therapy comes of age", <u>Nature</u> , Vol. 357, pp. 455-460 (June 1992).

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